



ADOT CIVIL RIGHTS BULLETIN

June 30, 2011

Trucking & Hauling Subcontracting Clarification

Please note the following points of clarification regarding DBE trucking and hauling:

1. There are two mechanisms for subcontracting DBE trucking and hauling services; directly contracting with a DBE trucking and hauling firm and securing services via a trucking and hauling broker.
2. ADOT specifications clearly address the counting of services provided by DBE trucking and hauling firms that contract directly with a prime contractors. (Section 10.04, EPRISE Page 8/17)
3. DBE participation may also be obtained by using trucking and hauling brokerage services.
 - a. FHWA has stated that the fee/commission of the brokerage firm may be counted toward DBE participation.
 - b. FHWA has stated that 100% of DBE activity must be captured, counted, and reported.
 - c. DBE trucking and hauling firms secured via the brokerage firm will be considered lower-tier subcontractors.
 - d. Lower-tier DBE trucking and hauling firms will be counted toward DBE participation goals in the same fashion as other lower-tier DBEs.
 - e. The one-for-one counting of non-DBE trucking and hauling firms defined in Section 10.04 referenced above will not apply in this scenario.
 - f. Contractors obtaining DBE participation in this fashion must clearly document that they are using a DBE brokerage firm and the DBE lower-tier subs proposed.

Please contact Melissa Boyles, ADOT Civil Rights Administrator at mboyles@azdot.gov if you have any questions.