

# THE COMPLIANCE CHAUFFEUR

An Informative Newsletter Dedicated to Providing Useful Program Information for Local Public Agencies & Subrecipients of Federal-Aid

Volume 1, Issue 1  
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## WELCOME MESSAGE FROM BECO'S LPA/SUBRECIPIENT PROGRAM MANAGER

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Greetings from ADOT's Business Engagement and Compliance Office (BECO). I would like to welcome you to the LPA / Subrecipient Newsletter for Spring 2015, wittingly named The Compliance Chauffeur. The objective of this newsletter is to provide useful information for a particular targeted audience: Certification Acceptance Agencies, Local Public Agencies, and Subgrantees. It is my hope that you will take a few minutes to read our Newsletter and enjoy the content.

In this issue, I will introduce you to the 2015 BECO Compliance Management Team, share information re-

garding the upcoming CA Academy, update you on the Disparity Study, and provide essentials on monthly reporting.

We will continue to communicate with you in the usual manner of email, memo, etc., but will create this 'newsie' mode of information once every quarter until we have delivered all the information you could ever know about compliance and the ADOT DBE Program!

Sincerely,  
*Bev Krumm, Subrecipient Program Manager – BECO*

## MEET THE BECO COMPLIANCE MANAGEMENT TEAM

As most of you know the BECO Office is responsible for achieving full compliance with all applicable Federal regulations related to disadvantaged and small businesses, including equal and fair employment opportunity and On-the-Job training for women and minorities in the construction trades. To achieve this we have many components in our department including business development, evaluation and reporting, compliance and operations. Let's focus on one component: Compliance.

The Compliance team is led by *Tina Samartinean*, Contract Compliance & Training Officer; *Mayline Wahinepio*, DBE Compliance Program Manager; *Beverly Krumm*, the LPA/Subrecipient Program Manager, and the newest member of the Compliance Team, *Michael Carter*, Contractor & Field Compliance Program Manager. This team recently formed and collaboratively developed our goals to standardize the support and guidance we provide to you, while utilizing our individual expert knowledge and skill sets.

## The Disparity Study Update

In the Fall of 2014 ADOT submitted a preliminary Overall DBE Goal of 9.38% for FHWA funded contracts. The preliminary goal is conditionally in effect until the USDOT has reviewed and approved it. The Disparity Study is expected to be completed in late Spring 2015, with ADOT holding public hearings this summer to receive public comments.



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[WWW.AZDOT.GOV/BEC](http://WWW.AZDOT.GOV/BEC)

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## **The CA Academy Module: ADOT’s DBE Program**

This information is directed specifically to the Certification Acceptance Agencies; we look forward to seeing you on March 19<sup>th</sup> for the DBE module delivered by our compliance management team. Our expectation for this module is to provide a foundation of the ADOT DBE Program. Expect to hear about:

- Regulations
- Requirements
- Responsibilities
- Compliance

You will leave knowing *what to expect* so your agency will be successful with ADOT’s DBE Compliance Program. Rest assured, for those not attending the academy, we will continue our specific compliance training to LPAs and Subrecipients throughout the remainder of the year. More information will be provided.

## **ADOT DBE Program Updates**

Arizona Department of Transportation (ADOT) has established a Disadvantaged Business Enterprise (DBE) Program Plan and Policy in accordance with requirements identified in 49 CFR Part 26 as a condition of receiving federal assistance from Federal Highway Administration (FHWA), Federal Transit Administration (FTA), and Federal Aviation Administration (FAA). The DBE Program is administered by ADOT’s Business Engagement and Compliance Office (BECO) and is applicable to all ADOT employees, Subrecipients, Local Public Agencies (LPAs), Prime Contractors and Consultants, Subcontractors, Subconsultants, DBEs, non-DBEs, Small Business Concerns (SBCs) or any other vendor entities that do business with ADOT on U.S. Department of Transportation (US DOT) assisted contracts.

The US DOT made comprehensive changes to the DBE regulations, effective November 3, 2014. As a result, ADOT is required to implement necessary program administration and compliance changes to ensure full compliance with the newly revised federal regulations.

BECO, as the administrator of ADOT’s DBE Program, is working on the implementation of the necessary changes to the program, which will include updates to contract language, forms, reporting, and procedures. BECO will engage internal and external stakeholders to participate in the process, to safeguard a comprehensive DBE Program update that meets the new requirements.

In the near future, BECO will be sending detailed communications regarding the implementation of these changes along with providing training to internal and external stakeholders affected by the changes. Your collaboration and participation will be greatly appreciated.

If you have any questions, please contact Tina Samartinean at [fsamartinean@azdot.gov](mailto:fsamartinean@azdot.gov) 602-712-7415.

IN THE NEXT ISSUE:

**UPCOMING DATES FOR DBE COMPLIANCE TRAINING**

**MEET OUR FIELD COMPLIANCE STAFF TECHNICIANS**

*Compliance Is Our Driver, Opportunity is Our Destination*